

09/936146

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE
(DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER		
GERC117991		
U.S. APPLICATION NO. (if known see 37 C.F.R. 1.5)		
09/936,146		
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	EARLIEST PRIORITY DATE CLAIMED
PCT/US00/07045	15 March 2000	15 March 1999
TITLE OF INVENTION		
METHODS AND COMPOSITIONS FOR INHIBITION OF RNA SPLICING		
APPLICANT(S) FOR DO/EO/US		
TESTA, Stephen M.; DISNEY, Matthew D.; GRYAZNOV, Sergei M.; and TURNER, Douglas H.		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- _____ 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- X** 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 37 U.S.C. 371.
- _____ 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- _____ 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- _____ 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - _____ a. is attached hereto (required only if not communicated by the International Bureau).
 - _____ b. has been communicated by the International Bureau.
 - _____ c. is not required, as the application was filed in the United States Receiving Office (RO/US).
- _____ 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).

LAW OFFICES OF
CHRISTENSEN O'CONNOR JOHNSON KINDNESS^{PLLC}
1420 Fifth Avenue
Suite 2800
Seattle, Washington 98101
206.682.8100

- _____ 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
- _____ a. are attached hereto (required only if not communicated by the International Bureau).
- _____ b. have been communicated by the International Bureau.
- _____ c. have not been made; however, the time limit for making such amendments has NOT expired.
- _____ d. have not been made and will not be made.
- _____ 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- X 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- _____ 10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 20. below concern document(s) or information included:

- X 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
- _____ 12. An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
- _____ 13. A FIRST preliminary amendment.
- _____ 14. A SECOND or SUBSEQUENT preliminary amendment.
- _____ 15. A substitute specification.
- _____ 16. A change of power of attorney and/or address letter.
- X 17. A computer-readable form of the sequence listing in printed and computer readable formats (37 C.F.R. § 1.821(e)) was submitted with this application on September 2, 2001. The paper and computer readable copies of the sequence listing are the same (37 C.F.R. § 1.821(f)) and contain no new matter (37 C.F.R. § 1.821(g)). Entry of the sequence listing into the application is requested.
- _____ 18. A second copy of the published international application under 35 U.S.C. 154(d)(4).
- _____ 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- X 20. A Request for Extension of Time under 37 C.F.R. § 1.136(a).

LAW OFFICES OF
CHRISTENSEN O'CONNOR JOHNSON KINDNESS^{PLLC}
1420 Fifth Avenue
Suite 2800
Seattle, Washington 98101
206.682.8100

<u> X </u> 21. The following fees are submitted:.				CALCULATIONS PTO USE ONLY	
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5):					
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO\$1,040.00					
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$890.00					
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$740.00					
International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4).....\$710.00					
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)\$100.00					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than <u> 20 </u> <u> X </u> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	- 20 =		X \$18.00	\$	
Independent claims	- 3 =		X \$84.00	\$	
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)			+ \$280.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$130.00	
<u> </u> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
SUBTOTAL =				\$130.00	
Processing fee of \$130.00 for furnishing the English translation later than <u> 20 </u> <u> </u> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	
TOTAL NATIONAL FEE =				\$130.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	
TOTAL FEES ENCLOSED =				\$130.00	
				Amount to be: refunded	\$
				charged	\$

LAW OFFICES OF
CHRISTENSEN O'CONNOR JOHNSON KINDNESS^{PLLC}
1420 Fifth Avenue
Suite 2800
Seattle, Washington 98101
206.682.8100

- X a. Enclosed is our check No. 135906 in the amount of \$530.00, which includes \$130.00 to cover the above fees.
- b. Please charge my Deposit Account No. in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.
- X c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 03-1740. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

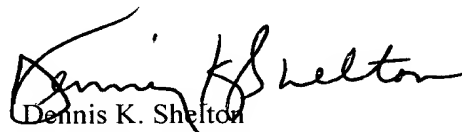
SEND ALL CORRESPONDENCE TO:

Customer No. 26389

Dennis K. Shelton
CHRISTENSEN O'CONNOR JOHNSON KINDNESS^{PLLC}
1420 Fifth Avenue
Suite 2800
Seattle, WA 98101

Respectfully submitted,

CHRISTENSEN O'CONNOR
JOHNSON KINDNESS^{PLLC}


Dennis K. Shelton
Direct Dial No. 206.695.1718
E-Mail Address: dennis@cojk.com

I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed envelope as first class mail with postage thereon fully prepaid and addressed to Box PCT, the Commissioner for Patents, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202, on the below date.

Date: 2/6/02 Carole Julian

DKS:cj

LAW OFFICES OF
CHRISTENSEN O'CONNOR JOHNSON KINDNESS^{PLLC}
1420 Fifth Avenue
Suite 2800
Seattle, Washington 98101
206.682.8100